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Conflict of Interest and Confidentiality Statement

Members of the Proton Collaborative Group (PCG) are required to declare any conflicts of interests related to all studies in which they participate, and/or any PCG committees on which they serve, which could directly and significantly affect the design, conduct, or reporting of PCG trials.

A conflict of interest exists when a member has an interest in or link to a study that is likely to bias his or her conduct of the study or service on a PCG committee. An individual who has a real conflict of interest with a study may not participate in the trial. Conflict of interest should be avoided whenever possible, but declared if it may potentially exist. After declaration, if it is established that there is no real conflict of interest and the PCG Board of Directors determines that the integrity of the process would not be impaired, the individual in question may participate in the study.

It is the responsibility of each member to notify the PCG Board of Directors of any conflict of interest situations that may pertain, whether real or apparent. Conflict of interest can include personal, professional, financial or proprietary interest. Potential conflicts that develop through the member's tenure of study participation or PCG committee service must also be disclosed. Disclosure will serve to protect the integrity of the research being conducted and will also help protect members from allegations of inappropriate behavior. Failure to disclose a conflict of interest could lead to revocation of PCG membership.

The following should be considered in assessing whether or not there is a conflict of interest:

- **Financial Benefit:** A person has a financial interest if the person has, directly or indirectly, through business investment, or family:
 - 1) An ownership or investment interest in any entity with which PCG has a transaction or arrangement,
 - 2) A compensation arrangement with PCG, or with any entity or individual with which PCG has a transaction or arrangement, not including salary compensation provided by a PCG member institution given that the salary compensation is not affected by PCG participation, or
 - 3) A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which PCG is negotiating a transaction or arrangement
- **Conduct of conflicting trials:** A conflict can occur if an individual is involved in the conduct of a study that conflicts with, or is overtly similar to a PCG trial being conducted simultaneously, and which provides the individual financial compensation.
- **Intellectual property:** Intellectual property ownership, such as copyrights, patents, trademarks, trade names, or trade secrets related to the study, or products or devices being evaluated in the study, may be considered a conflict of interest.



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- **Standing Disagreements:** A conflict of interest may exist when a member has a standing scientific or personal difference with the study or its investigator(s) that may compromise objectivity and ethical research conduct.

CONFIDENTIALITY AND NON-DISCLOSURE OF MATERIALS AND PROCEEDINGS

Materials and information made available to PCG members that are not in the public domain, as well as the discussions that take place during PCG meetings, are strictly confidential and must not be disclosed to or discussed with anyone who is not a member of PCG. Furthermore, confidential information obtained as a member may not be used by the member for personal benefit or for the benefit of the member's family, associates, or of organizations with which the individual is associated or has a financial involvement.

CERTIFICATION REGARDING CONFLICT OF INTEREST, CONFIDENTIALITY, AND NON-DISCLOSURE

NAME:

Primary employer:

- I have read the PCG Conflict of Interest and Confidentiality Form. I hereby certify that I will declare any potential conflict of interest to the PCG Group for any studies in which I currently participate.
- I fully understand the confidential nature of PCG materials and proceedings and agree not to disclose or discuss the matters or materials associated with any confidential PCG correspondence with any individual not a member of PCG, or to use the information for my personal benefit or the benefit of others.
- I would like to declare the following conflict(s) of interest to the PCG Board of Directors. I request that the Board review this/these conflict(s) and make a decision on whether or not I can participate in the trial(s) specific below as "Nature of Membership" and/or participate as a member of the PCG committee(s) specified below as "Nature of Membership"

Conflict Declaration or N/A:

Nature of Membership:

Signature: _____ Date: _____